

110 W. Taylor Street San Jose, CA 95110-2131

October 30, 2019

Bruce DeBerry Program Manager Water Division California Public Utilities Commission 505 Van Ness Avenue San Francisco, CA 94103

Advice Letter No. 538

Dear Mr. DeBerry:

San Jose Water Company (U-168-W) ("SJWC") hereby files this information only Tier 1 advice letter to notify the Commission of the creation of a new affiliate of SJWC and new affiliations of SJWC with certain companies not previously affiliated with SJWC. This filing is submitted in accordance with Rule VIII.D of the Affiliate Transaction Rules adopted by Decision 11-10-034 and Rule 6.1 of General Order 96-B.

On October 4, 2019 SJW Group, the parent company of San Jose Water Company, formed SJWNE LLC ("SJWNE") which became SJW Group's subsidiary on October 4, 2019. SJWNE, a Delaware limited liability company, was formed in connection with certain regulatory commitments (the "Commitments") related to the all-cash merger transaction between SJW Group and Connecticut Water Service, Inc. ("CTWS"), pursuant to the Second Amended and Restated Agreement and Plan of Merger, dated as of August 5, 2018, among SJW Group, Hydro Sub, Inc. ("Hydro Sub") and CTWS (the "Merger Agreement").

The referenced transaction closed on October 9, 2019. Upon such closing, Hydro Sub was merged with and into CTWS, with CTWS as the surviving entity. As a result of the Transaction, CTWS is now a wholly-owned subsidiary of SJWNE LLC ("SJWNE"), which was formed as a wholly-owned subsidiary of SJW Group on October 4, 2019, in compliance with certain regulatory commitments made in connection with the proposed Transaction.

As a result of the Transaction, the following companies have newly become affiliates of San Jose Water Company, as that term is defined by Decision 11-10-034, Rule VIII.D:

Connecticut Water Service, Inc. ("CTWS")
The Connecticut Water Company
The Avon Water Company
The Heritage Village Water Company
The Maine Water Company
Chester Realty, Inc.
New England Water Utility Services, Inc.

All of the companies listed above after CTWS are wholly-owned subsidiaries of CTWS. The new relationships of all these companies with SJW Group and its affiliates, including San Jose Water Company are illustrated in the accompanying Attachment A, which provides an organization chart for the Post-Merger Corporate Organization of SJW Group and its affiliates.

### **Effective Date**

This advice letter is provided as an information only filing with no effective date.

This filing will not raise any rate, cause the withdrawal of service, nor conflict with other schedules or rules.

SJWC has Advice Letters 532 suspended and 537 pending before the Commission.

### **Protests and Responses**

Anyone may respond to or protest this advice letter. A response does not oppose the filing but presents information that may prove useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- (1) The utility did not properly serve or give notice of the advice letter;
- (2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- (3) The analysis, calculations, or data in the advice letter contain material error or omissions;
- (4) The relief requested in the advice letter is pending before the Commission in a formal proceeding;
- (5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- (6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3<sup>rd</sup> floor California Public Utilities Commission, 505 Van Ness Avenue San Francisco, CA 94102 water division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail to us, addressed to:

Regulatory Affairs
San Jose Water Company
110 West Taylor Street
San Jose, California 95110
Fax 408.279.7934
regulatoryaffairs@sjwater.com

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period. In compliance with Paragraph 4.3 of General Order 96-B, a copy of this advice letter has been mailed to all interested and affected parties as detailed in Attachment B. A copy of this advice letter has been emailed to the service list of Comission Proceding I.18-07-007 as detailed in Attachment C.

Very truly yours,

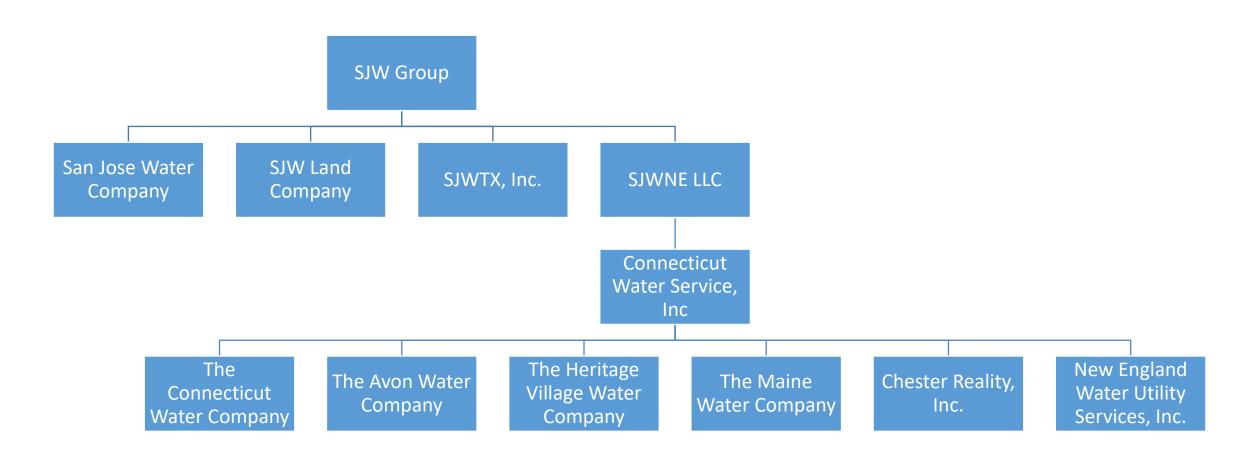
/S/JOHN TANG JOHN TANG Vice President of Regulatory Affairs

Attachments

cc: Lucian Filler, CPUC
Elizabeth Echols, Public Advocates Office
Liane Randolph, Commissioner
Karl J. Bemesderfer, Administrative Law Judge
OII 18-07-007 Service List

# SAN JOSE WATER COMPANY ADVICE LETTER NO. 538 ATTACHMENT A

# SJW Group Post-Merger Corporate Organization



# SAN JOSE WATER COMPANY ADVICE LETTER NO. 538 ATTACHMENT B

## SAN JOSE WATER COMPANY (U-168-W) Advice Letter No. 538

Attachment B Page 1 of 2

A copy of Advice Letter No. 538 has been sent to the following municipalities, water companies and interested parties:

City of San Jose Municipal Water Dept. Attn: Jeffrey Provenzano 3025 Tuers Road San Jose, CA 95121

California Water Service Co. Attn: Regulatory Affairs 1720 North First Street San Jose, CA 95112

City of Cupertino 10300 Torre Avenue Cupertino, CA 95014

City of Campbell 70 North First Street Campbell, CA 95008

Great Oaks Water Company P.O. Box 23490 San Jose, CA 95153

Santa Clara Valley Water District 5750 Almaden Expressway San Jose, CA 95118

County of Santa Clara 70 W. Hedding Street San Jose, CA 95110

Mountain Springs Mutual Water Co. 17956 Greenwood Road Los Gatos, CA 95033 San Jose Mercury News Attn: Paul Rogers 4 N. Second Street, Suite 800 San Jose, CA 95113

Town of Los Gatos Attn: Director of Public Works 110 E. Main Street Los Gatos, CA 95032

City of Monte Sereno Attn: Jessica Kahn, City Engineer 18041 Saratoga-Los Gatos Road Monte Sereno, CA 95030

City of Santa Clara 1500 Warburton Avenue Santa Clara, CA 95050

City of Milpitas Attn: Utilities Engineering 455 East Calaveras Blvd. Milpitas, CA 95035

City of Saratoga Attn: Director of Public Works 13777 Fruitvale Avenue Saratoga, CA 95070

Department of Water Resources Safe Drinking Water Office, Room 804 1416 9<sup>TH</sup> Street Sacramento, CA 95814

## SAN JOSE WATER COMPANY (U-168-W) Advice Letter No. 538

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Nina Hawk Chief Operating Officer Water Utility Enterprises Santa Clara Valley Water District 5750 Almaden Expressway San Jose, CA 95118 Stagecoach Mutual Water Co 21825 Stagecoach Road Los Gatos, CA 95033

Gillette MutualWater Company 21976 Gillette Drive Los Gatos, CA 95033 Pat Kearns, MD 7 W Central Ave Los Gatos, CA 95030

Redwood Estates Services Association PO Box 591 Redwood Estates, CA 95044-0591 Saratoga City Council Member Rishi Kumar 13777 Fruitvale Avenue Saratoga, CA 95070

Big Redwood Park Water & Improvement Assoc. 18522 Mt. View Avenue Los Gatos, CA 95033

WRATES Rita Benton 18555 Ravenwood Drive Saratoga, CA 95070

Villa Del Monte Mutual Water Company P.O. Box 862 Los Gatos, CA 95031 Saratoga Heights Mutual Water Company P.O. Box 337 Saratoga, CA 95071

Ridge Mutual Water Company 22316 Citation Drive Los Gatos, CA 95033 James Hunter 6475 Dwyer Street San Jose, CA 95120

Summitt West Mutual Water Company P.O. Box 974 Los Gatos, CA 95031

Raineri Mutual Water Company P.O. Box 11 Los Gatos, CA 95031

Oakmount Mutual Water Company P.O. Box 31536 Stockton, CA 95213

Mt. Summit Mutual Water Co P.O. Box 3416 Saratoga, CA 95070

Brush & Old Well Mutual Water Company 21105 Brush Road Los Gatos, CA 95033

# SAN JOSE WATER COMPANY ADVICE LETTER NO. 538 ATTACHMENT C

### **I.18-07-007 Service List**

Selina Shek
Martin A. Mattes
Joseph M. Karp

Blomquist Michael Patrick Kearns, MD Colleen D. Winchester Richard Rauschmeier Rose-Lynn Armstrong Stephen Ludwick Tahiya Sultan Travis Morrow Tyler Tulenko Zeb Zankel Cheryl M. Kimball Daniel P. Venora

Case Administration

Claire Torchia Fadia R. Khoury Paul Hunt

Kendall H. Macvey, Esq.
Daphne Goldberg
Ehren Seybert
Eileen Odell

Karl Bemesderfer

Michael J. Galvin Shannon O'Rourke Shelly Lyser Simi Rose George Tayeb K. Mogri Anna Fero Jaffe Jill N. Lenard G Weiss Lori A. Dolqueist Vidhya Prabhakaran Steven F. Greenwald John B. Tang, P.E. Jensen Palle Justin Skarb William J. Sherman

Jacobs

Evan