



110 W. Taylor Street
San Jose, CA 95110-2131

September 27, 2023

California Public Utilities Commission
505 Van Ness Avenue
San Francisco, CA 94102

Advice Letter No. 600

San Jose Water Company (U-168-W) (SJWC) hereby transmits for filing the following changes in tariff schedules applicable to its service area and which are attached hereto:

<u>Cal. P.U.C Sheet No.</u>	<u>Title of Sheet</u>	<u>Cancelling Cal. P.U.C. Sheet No.</u>
2275-W	Service Area Map Locator, Index	2101-W
2276-W	Table of Content	2274-W

These tariffs are submitted pursuant to General Orders Nos. 96-B and 103, and Commission Decision No. (D.) 99-10-064 and D.20-08-047.

The purpose of this advice letter is to request authority from the California Public Utilities Commission (Commission) to approve SJWC's acquisition of the Sierra Road Improvement Association, Incorporated (SRIA).

Background

In order to facilitate water utility mergers and acquisitions the Commission on October 21, 1999 issued D.99-10-064, which established the rules for such transactions. Specifically, related to transactions involving an investor-owned water utility's acquisition of a mutual water company, the Commission established an abbreviated advice letter processing procedure. Also, the decision established that an investor-owned utility that acquires a water system from a mutual corporation is permitted to place into effect rates that are equal to the existing mutual's rates or the nearby utility's water rates, or rates lower than either.

SRIA is comprised of a distribution system serving 48 customers in the Sierra Road Improvement Association in Santa Clara County. SRIA is contiguous to SJWC's service area and currently a SJWC wholesale customer through a master meter. SRIA in turns provide water to its 48 residences behind the master meter. With this ownership transfer, SJWC will directly serve the 48 residential customers. SJWC is the single source of supply for SRIA. As SJWC is already serving these customers through a master meter, there is no additional supply needed for this acquisition.

Therefore, pursuant to this provision in D.99-10-064, SJWC hereby requests authority from the Commission to acquire SRIA and apply SJWC's existing water rates to SRIA's 48 customers

pending the Commission's approval. On August 27, 2020, the Commission issued D.20-08-047 updating D.99-10-064 by including additional items to submit with the advice letter to accelerate the approval process. The additional items are included in Attachment A.

Small System Acquisition

SJWC will acquire SRIA's distribution system, tanks, and pumps. The agreement calls for a purchase price of \$1 for the water system assets. SJWC is not requesting a premium over existing SRIA rate base but is asking the Commission to adopt SJWC's currently adopted SJWC's Schedule No. 1 tariff rates for SRIA.

The Commission has previously recognized the benefits of small water system acquisitions. Per Resolution W-5080 approving California American Water's acquisition of Adams Ranch Mutual Water Company:

The State of California and the Commission encourage mergers of water systems especially when small systems can no longer economically provide adequate and safe water supplies. In Resolution No. 2008-0048 the State Water Resources Control Board noted that small or disadvantaged communities cannot "provide the economies of scale necessary to build and maintain adequate water and wastewater systems."

In addition to the above, there are a number of acquisition benefits for SRIA customers and current SJWC customers. By adopting the SJWC tariff rates upon approval of this filing and closing of the transaction, the average SRIA customer will see a bill decrease from their current rate structure. For the average SRIA customer that uses 15 ccf of water (based on 2016-2021 sales), their bill decreases from \$183.00¹ to \$163.75 based on the current SJWC's Schedule No. 1 tariff.

SJWC also has the operating experience and resources to provide safe and reliable drinking water. With the transition to SJWC, customers will benefit from SJWC's website and online services such as online payment and paperless billing, and will have access to full time customer service representatives to handle issues. Additionally, SRIA's residential customers who qualifies will have access to SJWC's Customer Assistance Program (CAP). Currently SJWC's CAP customers receives 15% off their total bill.

The acquisition of the SRIA system presents several advantages for SJWC's current customers due to its strategic location next to both Perie Zone and Varner Court Pressure Zones. Over the long term, this acquisition will enable SJWC to phase out the Varner Court Pressure Zone, replacing it with a regulated zone supplied through the SRIA system. This transition will result in a more streamlined operation.

SJWC customers will also experience future benefits as the SRIA system will eventually be served from the Perie Lane Zone. The tank in this zone has documented turnover and overall water quality issues due to its oversized capacity, and therefore more demand will help. Additionally, the existing SRIA system lacks sufficient fire flow capacity, which poses a risk to existing SJWC customers in the event of a wildfire. Due to the lack of adequate fire protection in the SRIA system, it is likely that any wildfire will quickly overwhelm SRIA and expand into SJWC's service area.

¹ SRIA current rate is \$60 monthly service charge plus \$8.20 per CCF

SJW is committed to enhancing the operations of the SRIA system and exploring opportunities to improve fire flow in the area. These efforts will, in turn, mitigate risks for existing SJW customers, ensuring a safer and more efficient water supply system.

SJWC has prepared a Water Supply and Certification Questionnaire for the service area extension. A copy will be submitted to the CPUC along with this filing.

Purchase Agreement

On November 25, 2020, SJWC and SRIA entered into a purchase agreement (Agreement for Purchase and Sale of Water System and Joint Escrow Instructions (Attachment B)). The purchase agreement establishes a purchase price of \$1 to be paid to SRIA by SJWC. The execution of the purchase agreement is conditioned upon approval by the membership of SRIA, as well as review by the Commission. Subsequently on October 19, 2020 the SRIA membership approved the agreement by over two thirds majority vote.

Rates

All SRIA customers are residential customers and will be charge the residential rate under SJWC's current Schedule 1 tariff. SRIA customers will be charged the service charge rate, quantity tier rate and all applicable surcharges and PUC fees. In the event of any Commission approved rate adjustment to Schedule 1, these changes will apply to SRIA customers.

Public Notices and Public Meetings

The members of SRIA community has received notices of the proposed sale of SRIA to SJWC via US postal mail:

- August 20, 2020: Letters were provided to SRIA members to inform them of the acquisition detail. Member were also provided a ballot to vote on disapproval of the the acquisition.
- On October 19, 2020: Ballot results came in approving the acquisition.
- On August 25, 2021: An update were provided to SRIA members informing them of SJWC upcoming filing with the Commission regarding the acquisition

A copy of this filing will be serve to SRIA members.

Effective Date

SJWC requests the proposed surcharge become effective October 27, 2023.

Protests and Responses

Anyone may respond to or protest this advice letter. A response does not oppose the filing but presents information that may prove useful to the Commission in evaluating the advice letter. A protest objects to the advice letter in whole or in part and must set forth the specific grounds on which it is based. These grounds may include the following:

- 1) The utility did not properly serve or give notice of the advice letter;
- 2) The relief requested in the advice letter would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
- 3) The analysis, calculations, or data in the advice letter contain material error or omissions;

- 4) The relief requested in the advice letter is pending before the Commission in a formal proceeding;
- 5) The relief requested in the advice letter requires consideration in a formal hearing, or is otherwise inappropriate for the advice letter process; or
- 6) The relief requested in the advice letter is unjust, unreasonable, or discriminatory (provided that such a protest may not be made where it would require relitigating a prior order of the Commission).

A response or protest must be made in writing or by electronic mail and must be received by the Water Division within 20 days of the date this advice letter is filed. The address for mailing or delivering a protest is:

Tariff Unit, Water Division, 3rd floor
California Public Utilities Commission,
505 Van Ness Avenue
San Francisco, CA 94102
water_division@cpuc.ca.gov

On the same date the response or protest is submitted to the Water Division, the respondent or protestant shall send a copy of the protest by mail to us, addressed to:

Regulatory Affairs
San Jose Water Company
110 West Taylor Street
San Jose, CA 95110
Fax 408.279.7934
regulatoryaffairs@sjwater.com.

The advice letter process does not provide for any responses, protests or comments, except for the utility's reply, after the 20-day comment period. Public notice is not required.

In compliance with Paragraph 4.3 of General Order 96-B, a copy of this advice letter has been sent via electronic mail to all interested and affected parties as detailed in Attachment B as well as to members of SRIA.

The present rates of SJWC became effective July 31, 2023 by Advice Letter No. (AL) 599.

This filing will not cause the withdrawal of service, nor conflict with other schedules or rules.

Very truly yours,

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NANCI TRAN
Manager of Regulatory Affairs

SAN JOSE WATER COMPANY

ADVICE LETTER NO. 600

ATTACHMENT A

SAN JOSE WATER COMPANY

ADVICE LETTER NO. 600

ATTACHMENT B

SERVICE AREA MAP LOCATOR

INDEX

The following list shows the cadastral map number, advice letter number and date of filing for all service area maps filed with the California Public Utilities Commission. These maps are under separate cover, and this index is for control and reference purposes.

<u>Map Number</u>	<u>Advice Letter Number</u>	<u>Date of Filing</u>	
36	194	December 31, 1986	
37	600	September 26, 2023	(T)
50	194	December 31, 1986	
52	270	May 18, 1994	
53	291	September 10, 1997	
66	194	December 31, 1986	
69	295	October 29, 1997	
80	194	December 31, 1986	
81	194	December 31, 1986	
82	194	December 31, 1986	
84	199	June 5, 1987	
85	445	December 7, 2012	
95	271	December 19, 1994	
96	557	December 18, 2020	
100	205	December 4, 1987	
110	215	December 15, 1988	
111	203	August 28, 1987	
115	205	December 4, 1987	
116	205	December 4, 1987	
125	347	April 26, 2004	
126	259	October 22, 1993	
128	205	December 4, 1987	
129	205	December 4, 1987	
138	259	October 22, 1993	
139	458	March 27, 2014	
140	316	June 3, 1999	
141	283	January 31, 1997	
142	288	July 17, 1997 June	
143	210	9, 1988 December	
151	215	15, 1988 August 26,	
152	451	2013 December 15,	
154	215	1988 August 15,	
155	279	1996 June 9, 1988	
156	210	September 27, 2013	
166	451	June 9, 1988	
169	210	August 25, 2006	
181	369		

(To be inserted by utility)

Issued by

(To be inserted by Cal. P.U.C.)

Advice No. 600

JOHN TANG

Date Filed _____

Dec. No. 99-10-064

Vice President,

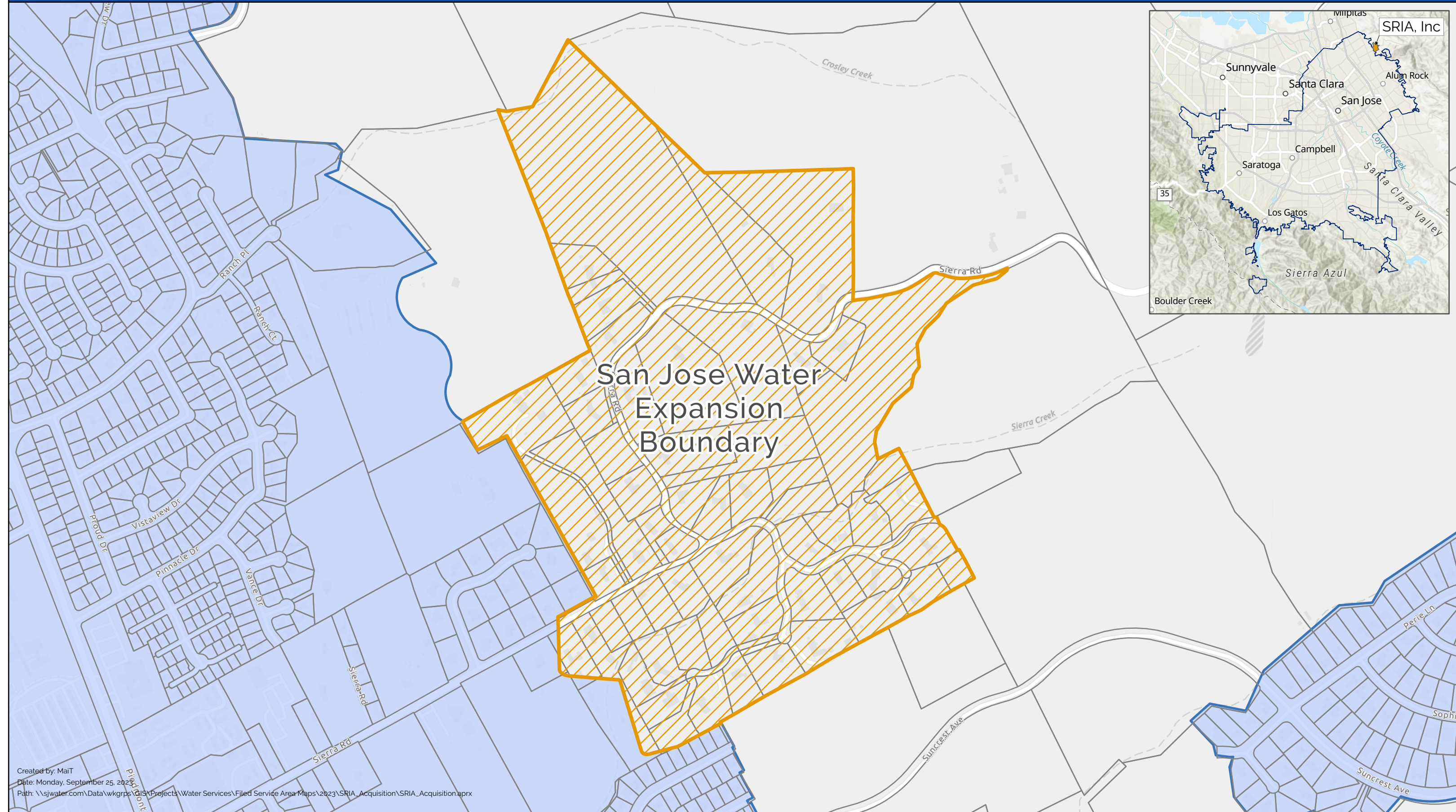
Effective _____

Regulatory Affairs

Resolution No. _____

TITLE

San Jose Water - Sierra Road Improvement Association, Inc. Acquisition



Created by: MaIT
Date: Monday, September 25, 2023
Path: \\sjwater.com\Data\wkgrps\GIS\Projects\Water Services\Filed Service Area Maps\2023\SRIA_Acquisition\SRIA_Acquisition.aprx

TABLE OF CONTENTS

The following listed tariff sheets contain all effective rates, rules and regulations affecting the rates and service of the Utility, together with information relating thereto:

Subject Matter of Sheet	C.P.U.C. Sheet No.	
Title	1495-W	
Table of Contents	2276-W, 2161-W and 2251-W	(C)
Preliminary Statement	919-W, 1303-W, 2032-W, 2212-W, 2213-W, 2035-W, 2058-W, 2037-W, 2214-W, 2040-W, 2041-W, 2215-W, 2087-W, 2244-W, 2172-W 2216-W, 2217-W, 2237-W, 2252-W, 2263-W	
Service Area Map Locator	1266-W	
Service Area Map Locator, Index	2275-W	(C)
Map of Areas with Special Pressure and FireFlow Conditions	2116-W	
Index to Map of Areas With Special Pressure and FireFlow Conditions	1079-W, 2117-W 1082-W, 1087-W and 1404-W	
Rate Schedules:		
Schedule No. 1, General Metered Service	2265-W, 2255-W and 2238-W	
Schedule No. 1B, General Metered Service With Automatic Fire Sprinkler System	2266-W, 1741-W, 2183-W, 2239-W 2267-W, 1952-W, 1884-W and 2184-W, 2240-W	
Schedule No. 1C, General Metered Service Mountain District	2268-W and 2187-W	
Schedule No. 4, Private Fire Service	1118-W and 1094-W	
Schedule No. 9C, Construction and Other Temporary Metered Service	152-W 2131-W, 2132-W, 2133-W 2134-W, 2149-W, 2136-W, 2137-W	
Schedule No. 10R, Service to Employees	2138-W, 2139-W, 2173-W	
Schedule No. 14.1 Water Shortage Contingency Plan with Staged Mandatory Reductions and Drought Surcharges	2269-W, 2259-W, 2241-W, 2270-W, 2242-W	
Schedule No. RW, Raw Water Metered Service	2270-W, 2242-W	
Schedule No. RCW, Recycled Water Metered Service	2270-W, 2242-W	
Schedule No. UF, Surcharge to Fund Public Utilities Commission, Reimbursement Fee	2234-W	
Schedule No. CAP, Customer Assistance Program	2248-W and 2056-W	
List of Contracts and Deviations	2246-W and 2261-W	
Rules:		
No. 1 - Definitions	2064-W and 2065-W	
No. 2 - Description of Service	525-W	
No. 3 - Application for Service	2143-W, 2144-W	
No. 4 - Contracts	352-W	
No. 5 - Special Information Required on Forms	2066-W, 2067-W and 2068-W-W	
No. 6 - Establishment and Re-establishment of Credit	354-W	
No. 7 - Deposits	355-W and 356-W	
No. 8 - Notices	2069-W, 2070-W and 2017-W	
No. 9 - Rendering and Payment of Bills	2188-W, 2189-W and 2190-W	

(Continued)

(To be inserted by

Issued by

(To be inserted by Cal. P.U.C.)

utility) Advice No. 592A

JOHN TANG
Vice President,
Regulatory Affairs

Date Filed _____
Effective _____
Resolution No. _____

Dec. No. _____

SAN JOSE WATER COMPANY

ADVICE LETTER NO. 600

ATTACHMENT A

	Minimum Data Requirements	Response
1	Estimate the potential monthly incremental cost impact on existing and acquired customers following the actual results of the Buyer's most recently authorized tariffs.	N/A - no incremental increase to both existing and acquired customers; there is no change to current tariff, acquired customer will go on SJWC's existing Schedule 1 rate which on average is lower than their current rate
1.a	If a Buyer has pending request before the Commission to change rates, it must also calculate the above using data as proposed in its pending request.	N/A
2	If the Buyer is seeking authority to increase the acquired system's rates to a certain level, please state the basis for the targeted rate and period of time for such targeted rate to be implemented.	N/A; acquire system to adopt current SJW rate once approved by CPUC, see Advice Letter 600 for bill comparison
3	Provide the annual depreciation expense using the proposed rate base of the acquired assets. If the exact depreciation expense is not available, provide the best estimate of the annual depreciation expense. Show how the depreciation expense is calculated	Annual depreciation expense will be zero because the system is being acquired for \$1
4	Provide an estimate of the annual revenue requirement of the system proposed to be acquired. Provide the assumptions for the annual revenue requirement, including expected rate of return, expected depreciation expense, O&M expenses, etc.	N/A; SJW is acquiring system for \$1, no change to Revenue Requirement
5	Other than the revenue requirement data requested above, separately identify all other approved and/or intended impacts to customer bills (i.e., surcharges, passthrough fees, etc.).	N/T; SJW is acquiring all for \$1, no change approved and/or Requested Above
6	Provide a listing of any entities that currently receive free service from the acquire utility.	None
7	If the acquired utility has increased rates in the last year, please state the date of the increase and provide a copy of the new rate schedule and the total annual revenues projected under the new rates	There have not been any SRIA rate increases in last year
8	Are there any leases, easements, and access to public rights-of-way that Buyer expects to be needed in order to provide service which will not be conveyed at closing? If yes, identify when the conveyance will take place and whether there are expected to be additional costs involved.	All easements necessary are expected to be obtained at closing.
9	Provide a breakdown of the estimated transaction and closing costs. Provide invoices to support any transaction and closing costs that have already been incurred.	N/A; SJW is acquiring Provide \$1
10	Describe known and anticipated general expense savings and efficiencies under Buyer's ownership. State the basis for assumptions used in developing these savings and efficiencies and provide all supporting documentation for the assumptions.	See Advice Letter 600 for description of integration benefits
11	Provide a copy of the Seller's request for proposals (if there was one) and any accompanying exhibits with respect to the proposed sale of the water system or water system assets.	There are no requests for proposals by the seller
12	Provide a copy of the response to the request for proposals (if there was one) of the Buyer for the purchase of the acquired water system or water system assets.	N/A
13	For each Utility Valuation Expert (UVE) providing testimony or exhibits, please provide the following:	Purchase price is \$1
13.a	A list of valuations of utility property performed by the UVE in the last two years;	N/A
13.b	A list of valuations of utility property performed by the UVE in the last two years;	N/A
13.c	A list of all dockets in which the UVE submitted testimony to a public utility commission or regulatory authority related to the acquisition of utility property in the last two years; and	N/A
13.d	An electronic copy of or electronic link to written testimony in which the UVE testified on public utility fair value acquisitions in the past two years.	N/A
14	Explain each discount rate used in the appraisals and valuations, including explanations of the capital structure, cost of equity and cost of debt. State the basis for each input. Provide all sources, documentation, calculations and/or workpapers used in determining the inputs.	N/A
15	Explain whether the appraisal/valuation used replacement cost or reproduction cost and why that methodology was chosen.	N/A
16	Explain the basis for any comparable acquisitions used in the appraisal/valuation including the purchase price and number of customers for each comparable acquisition.	N/A
17	Are there any outstanding compliance issues, including but not limited to water quality violations, that the Seller's system has pending with the Board's Division of Drinking Water? If yes, provide the following information:	SRIA states they have never had compliance issues with any agency
17.a	Identify the compliance issue(s);	none
17.b	Provide an estimated date of compliance;	none
17.c	Explain Buyer's anticipated or actual plan for remediation; N/A	none
17.d	Provide Buyer's estimated costs for remediation; and,	none
17.e	Indicate whether the cost of remediation was or is anticipated to be factored into either or both fair market valuation appraisals offered in this proceeding.	none

18	Are there any outstanding compliance issues that the Seller's system has pending with the US Environmental Protection Agency? If yes, provide the following information:	none
18.a	Identify the compliance issue(s);	none
18.b	Provide an estimated date of compliance;	N/A
18.c	Explain Buyer's anticipated or actual plan for remediation;	N/A
18.d	Provide Buyer's estimated costs for remediation; and	N/A
18.e	Indicate whether the cost of remediation was or is anticipated to be factored into either or both fair market valuation appraisals offered in this proceeding.	N/A
19	Provide copies of all notices of a proposed acquisition given to affected customers.	See attached notice from SRIA
20	Provide copies of all disclosures and customer notices required by Pub. Util. Code § 10061 related to the sale and disposal of utilities owned by municipal corporations.	N/A
21	Describe other requests to be included in the application, including but not limited to requests for approval of:	N/A
21.a	Consulting, transition of service, water wholesaling, or other agreements;	N/A
21.b	Interim rate increases outside of a general rate case proceeding or other special rate treatment (e.g., CPI-U rate increases, or rate increases under Class C/D requirements);	N/A; SJW is acquiring SRIA for \$1, no change to current customer's rate
21.c	Facilities construction;	N/A
21.d	Memorandum or Balancing Accounts	N/A
22	Identify the ratepayer benefits that accrue to current ratepayers of the system being acquired due to this transaction.	N/A; no change to current ratepayer's rate
23	Identify all actions the applicant has taken with governmental agencies related to obtaining required permits and/or approvals to effectuate the acquisition.	None
24	Provide all workpapers that support the testimony for each of the witnesses that accompany the application, in native format where possible	N/A
25	A list of recommended, proposed or required capital improvements to the acquired water system known at the time of the application, with cost estimates, if available;	\$25k in meter replacements within 6 months of taking over the system
26	If applicable, supporting documentation for the designation of Disadvantaged Community; and	N/A
27	If applicable, documents required by Pub. Util. Code Section 10061(c).	N/A

	Agreed-upon data elements approved by D.99-10-064	Response
1	A copy of the purchase agreement	See Confidential - SJW-SRIA-Purchased Agreement
2	A copy of any appraisals conducted in the past five years;	none
3	A forecast of the results of operation for (1) the acquiring utility, (2) the acquired utility, and (3) the combined operation;	N/A; SJW is acquiring all \$1, the SRIA is current a customer of SJW, no change to current expenses
4	A list of all assets funded by the state or federal government and other contributions;	none
5	Assets funded by contributions; and	None
6	Indication of compliance orders for failures to meet drinking water standards	None

Update regarding the acquisition of Sierra Road Improvement Association by San Jose Water Company

We remain in agreement with San Jose Water Company (SJW) to acquire and take over the operations of Sierra Road Improvement Association (SRIA). The next step that SJW needs to take is to present our agreement to the California Public Utilities Commission for their approval.

SJW has several different filings including multiple rate proceedings currently in process with the CPUC. The SJW-SRIA agreement is in the queue for consideration by the CPUC later this year, most likely Q4 2021. The processing schedule has been delayed due to numerous proceedings concurrently being processed by the CPUC and straining available staff resources. In addition, there have been further impacts from the pandemic that have resulted in delays.

The assessments you paid over the past 10 months are being held in our bank account for Surveying work, including pipe infrastructure location, and will not be disbursed for this purpose until the CPUC approves the SJW-SRIA agreement because the SRIA Board does not want to make those expenditures without CPUC approval of the acquisition. This was negotiated with SJW to protect SRIA members.

We will continue to provide you with status updates as they become available.

Thank you!

August 20, 2020

Sierra Road Improvement Association
San Jose, CA 95132

SRIA Members,

In 2017 Sierra Road Improvement Association (SRIA) was approached by San Jose Water Company (SJW) with a verbal offer to be acquire SRIA and its holdings. SJW has acquired other mutual water services and has been encouraged by the State of California's Department of Water Resources to do this. The State wants small mutuals to be acquired by a large public entity that have paid professionals rather than volunteers operating the water systems that the State regulates. SJW wants to expand their business. SRIA needs someone to replace aging facilities and provide a modern fire protection system. Upgrading is not something SRIA on its own can do as it will cost in the several millions of dollars to have a modern fire protection system

In 2018 SJW made a presentation to the SRIA Membership about their plans in the event we allowed them to acquire us. Technically these plans included:

1. Connection to a 200,000 gallon storage tank located on Perie Lane which is about 200 feet elevation above our system.
2. Running new feed pipe from the Tank to a Pressure Reducing Station (PR) located at east end of Skyview Drive.
3. Installing two new pipelines into the SRIA system all in public Right of Way.
4. Installing new smart meters at every residence at street entrance to their property.
5. Installing new fire hydrants that have the capacity to fight a fire without losing water and pressure. Our current system has insufficient water and pressure to fight a multi-property fire.
6. The plan would involve taking over SRIA operations immediately after contract signing by PUC and SRIA providing a Survey. This is anticipated to take place in late 2021 or early 2022.

In 2019 the project was stalled until SJW was able to enter into an Agreement to install a Pressure Reducing Station and run pipe along private lands to the east of SRIA. When this Agreement was settled, SJW presented to SRIA a draft contract Agreement. This was thoroughly reviewed by our Board and the final draft was negotiated by Attorney Julia Wei of Diemer & Wei, LLP and our own Bill Migneault and Catherine Gortner.

Here is list of events that must happen to finalize the acquisition. All this is discussed within the contract documents.

1. We need 50% of our Membership to sign a document that they agree with the Acquisition.
2. After voting, Bill Migneault and SJW will make a public signing.
The document must be presented to the California Public Utilities Commission (PUC) for approval. This is estimated to take 12 months
3. After PUC approval, SRIA needs to spend an estimated \$85,000 to locate SRIA's underground water infrastructure (e.g. pipes) and have SRIA's entire water infrastructure (tanks, pipes, easements, etc.) surveyed and mapped. Each of our 52 Members will share this cost. All easements will be assigned to SJW and any other easements needed after the survey will need to be put in place and recorded.
4. SJW will take over Operations at completion of the Survey/Easement work.
Membership will no longer exist and each property owner will become a SJW customer.
5. SJW will install new smart meters in the street closest the property. There are about 20 Members that will need to hire a plumber and hook up to the new meters because your current meter is not at the street. SRIA Volunteers will get quotes to do this work and each member will pay his individual invoice.
6. SJW will build a new system and abandon the SRIA pumps, tanks, pipes and meters.
This may take 5-10 years.

Prior to the member signing and returning his/her ballot and in advance of the 2020 General SRIA meeting, members will receive documents to review and be asked to submit questions in advance of the annual meeting in order to streamline the meeting. There will be further opportunity to ask questions during the general meeting. The 2020 annual meeting may take place as a ZOOM meeting online so you can join by your computer or smart phone. The alternative is a meeting in person which would need to be held outdoors with 6 foot spacing and, for everyone's comfort, at a shady venue. Both Zoom and General Outdoor Meeting are scheduled for Sept 26th. You will be notified of details. I will set up a video conference by Zoom and provide training to those who are not familiar with Zoom if you email me a request.

I ask if there are any questions you may send them to Billmign@yahoo.com. Your questions will be answered privately to you. Or if you prefer to have your questions discussed at the meeting, let me know and I will answer your question so everyone can hear at the meeting.

Respectfully submitted,

Bill Migneault

President SRIA

BALLOT

ACQUISITION OF SRIA BY SAN JOSE WATER COMPANY

Please sign and mail to Kathleen Austin, 15380 Skyview Drive, San Jose, CA 95132 with the enclosed addressed envelope. You may also drop it into the mailbox which is a secured locked box. You may also turn in at the General Meeting on Sept. 26.

The name printed below must match the name on the water certificate. If held in a Trust then the name of the Trust is entered along with the name of the Trustee.

I, _____, _____, _____
Print Name Name of the Trust if applicable APR number

hold Membership in the Sierra Road Improvement Association. By signing this Ballot, I am voicing my consent or disapproval for Sierra Road Improvement Association (SRIA) to be acquired by San Jose Water Company. I am aware that:

1. I am obligated to pay my equal share of the costs to locate, survey, and map SRIA's infrastructure and easements for San Jose Water Company's use.
2. Allow my water meter to be replaced and possibly relocated at the expense of San Jose Water Company. I am aware that any relocated plumbing expense to connect the new meter will be borne by me, an owner of the property. Any lot without a water meter at this time will be asked to pay for the new meter.
3. Allow an easement on my property to favor San Jose Water Company in the event one is required by San Jose Water Company.

Member to sign on ONLY ONE of these lines:

Vote in Favor of acquisition YES _____
Print Name Signature of Member

Vote against acquisition NO _____
Print Name Signature of Member

Date: _____

Must be postmarked or dropped off on or before October 15, 2020 for vote to be counted

SRIA
Results of two Proposals
October 19, 2020

The votes are in.

Methodology: 2 Board members and 1 member at large served as Teller's.

Each Teller had the same 2-page form with each member's name and marked it according to their vote for the two proposals indicating a yes or no vote. Next, each teller cross reference with the others to ensure all ballots were accurately reported and the numbers reported are correct. The data was transferred to the *Summary of Two Ballot Proposals* including the Teller's signature and date. All original forms are on file with SRIA. On October 18, 2020 the *Summary of Two Ballot Proposals* was sent to SRIA President Bill Migneault.

Results:

PROPOSAL 1: Letter of Intent Between Lyons and SRIA Granting of An Easement by Lyons to SRIA in Exchange for the Granting of a Water Right to Lyons.

50% of membership is required to pass the proposal.

Number of Votes: *77% of ballots were returned.*

Yes: 40 No: 1 No Submitted Ballot: 13

PROPOSAL 2: Acquisition of SRIA by San Jose Water Company

50% of membership is required to pass the proposal.

Number of Votes: *70% of ballots were returned.*

Yes: 35 No: 1 No Submitted Ballot: 18

Next Step: Bill Migneault will make an appointment with San Jose Water Company. He will announce the contract signing date and time. Either Bill Migneault and/or Catherine Gortner will notify Mr. Lyon's of the results of Proposal 1.

Submitted by,
Kathleen Austin
SRIA Secretary

SAN JOSE WATER COMPANY

ADVICE LETTER NO. 600

ATTACHMENT B

SAN JOSE WATER COMPANY (U-168-W)

ADVICE LETTER 600 SERVICE LIST

Big Redwood Park Water	waldoburford@gmail.com;
Brush & Old Well Mutual Water Company	BOWMWC@brushroad.com;
Cal Water	cwsrates@calwater.com;
City of Campbell	publicworks@cityofcampbell.com;
City of Cupertino City Attorney	cityattorney@cupertino.org;
City of Cupertino Director of Public Works	rogerl@cupertino.org;
City of Milpitas	tndah@ci.milpitas.ca.gov ;
City of Milpitas	smachida@ci.milpitas.ca.gov;
City of Monte Sereno	steve@cityofmontesereno.org;
City of Monte Sereno	bmekechuk@cityofmontesereno.org;
City of Santa Clara	water@santaclaraca.gov;
City of San Jose	jeffrey.provenzano@sanjoseca.gov;
City of Saratoga	jcherbone@saratoga.ca.us;
County of Santa Clara	county.counsel@cco.sccgov.org;
DB Davis	dbdavis@rockwellcollins.com;
Dept. of Water Resources, Safe Drinking Water Office	sdwo@water.ca.gov;
Valley Water	dtaylor@valleywater.org;
Gillette Mutual Water Company	gapowerz@gmail.com;
Gillette Mutual Water Company	goldiey@pacbell.net;
Gillette Mutual Water Company	keyoung@pacbell.net;
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Cal Water	jpolanco@calwater.com;
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Oakmount Mutual Water Company	gortiz12@comcast.net;
Patrick Kearns MD	pjk3@comcast.net;
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San Jose Mercury News	progers@bayareanewsgroup.com;
Valley Water	afulcher@valleywater.org;
Valley Water	abaker@valleywater.org;
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Catherine Gortner
Robert Towle
Frank Vu
Bernward Schwarte
Raymond Lim
Maureen O'Hara
Beacock, Laverne
Jeanette Bui
Jorge Fernandez
Brian D. Duong
Ha Than
Xueyu Qian
Timothy Chen
David Ortiz
Kathleen Austin
Carolyn Lees
Melanie Moore
Nicholas Gurries
Cuicui Jia (Kate)
Kaiping Chen
Helen Jorgensen
Kaiping Chen
Lino Sousa
Crystal Yip
Thomas Majeski
William Lyons
Ryan Tran
Randy or Molly Jones
Luis Cuasay
Richard P. Santos
Jesus Garcia
Katherine Kuzirian
Catherine Ann Cummings
Theodore L. Deffinger
Peter Martin
The Laser Trust, Allan Laser
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